IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No. AUS919970761US2

In Re Application of:

JAMES W. ARENDT, ET AL.

Serial No. UNKNOWN

Filed: JULY 10, 1998

For: HIGHLY SCALABLE AND

SExaminer: UNKNOWN

Group No. UNKNOWN

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D. C. 20231

HIGHLY AVAILABLE CLUSTER SYSTEM MANAGEMENT SCHEME

Sir:

The above-entitled application is a divisional application of U.S. Application Serial No. 09/114,051. Pursuant to 37 C.F.R. § 1.98(d), Applicant respectfully requests that the references of record in the parent case, be considered in this divisional case. These references are listed in the attached Substitute Form 1449.

CERTIFICATE OF MAILING BY "EXPRESS MAIL" UNDER 37 CFR § 1.10

"Express Mail" mailing label number

ET657447168US

Date of Mailing

January 7, 2002

I hereby certify that the documents indicated below are being deposited with the United States Postal Service under 37 CFR 1.10 on the date indicated above and are addressed to Box Patent Applications, Assistant Commissioner of Patents, Washington, D.C. 20231 and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

(name of person mailing paper)

SIGNATURE of person maying paper or fe

No fees are believed to be necessary. Please charge any fees that may be necessary for prosecution of the present application to IBM Corporation Deposit Account No. 09-0447.

Respectfully submitted,

Richard N. McCain

Registration No. 43,785

BRACEWELL & PATTERSON, L.L.P.

Woland McCani

Suite 350 Lakewood on the Park

7600B North Capital of Texas Highway

Austin, Texas 78731

512.343.6116

ATTORNEY FOR APPLICANT(S)

Substitute f	form 1449	/PTO	,		Complete if Known				
					Application UNKNOW Number		wn ,		
INFORMA STATEMI					Filing Date	July 10, 19	98		
STATEM	ENI DI A	AFFLICA	NI		First Named Inventor	James W. Arendt, et al.			
						Group Art Unit	UNKNOWN		
					Examiner Name	UNKNOWN			
Sheet	1		of		2	Attorney Docket Number	AUS9199	70761US2	
				U.S	. PATENT D	OCUMENTS		-	
Examiner Initials	IDS Doc#	U.S. Pat Docume	ent ent Number		Name of Patentee or Applicant		Publication Date MM-DD-YYYY		
	AA	5,822,531		Gorczyca, et	10/13/1998				
	AB	6,151,684		Alexander, et al.			11/21/2000		
	AC	6,108,699		Moiin, Hoss	08/22/2000				
	AD	6,058,490			Allen, et al.	05/02/2000			
	AE	6,038,677			Lawlor, et al	03/14/2000			
	AF	6,003,075			Arendt, et al	12/14/1999			
	AG	5,872,971			Knapman, et	02/16/1999			
	AH	5,852,724			Glenn, II, et	12/22/1998			
	AI	5,717,911			Madrid, et al	02/10/1998			
	AJ	6,314,526			Related Issu Arendt, et al	11/06/2001			
	AK	Atty. Docket No. AT9-97-760; App. No. 09/164,130			Related Cop James W. Ar	Application Filed 09/30/1998			
	AL	6,170,009			Mandal, et a	01/02/2001			
	AM	6,182,157			Schlener, et	01/30/2001			
	AN	6,212,676			Seaman, et a	04/03/2001			
	AO	6,226,693			Chow, et al.			05/01/2001	
<u> </u>	AP	6,233,623			Jeffords, et al.			05/15/2001	

						-		-				
	T		U.S	. PATEN	NT DOCUMENTS							
	AQ	5,367,63	5	Bauer, et al.				11/2	11/22/1994			
	AR	5,404,53	2	Allen, et al.				04/0	04/04/1995			
	AS	5,808,91	1	Tucker, et al.				09/1	09/15/1998			
	AT	5,822,58	3	Tabuchi, Masahiro				10/13/1998				
	AU	5,828,88	2	Hinckley, et al.				10/27/1998				
	AV	5,832,26	4	Hart, et al.				11/03/1998				
	AW	5,838,96	9	Jacklin, et al.				11/17/1998				
	AX	5,867,707		Nishida, et al.				02/02/1999				
	AY	5,951,68	0	Redlin, et al.			09/14/1999		4/1999			
			FORE	IGN PAT	TENT DOCUMENTS							
Examiner Initials	IDS Doc#	Office	Foreign l Docume				Date of Publicat		English Translation			
	ТО	HER PRI	OR ART –	NON PA	ATENT LITERATURE D	OCU	MENTS	5				
Examiner Initials	` ' '											
	AZ	AZ Urban, S.D., et al. "The Implementation and Evaluation of Integrity Maintenance Rules in an Object-Oriented Database", IEEE Confer. On Data Engineering, pp. 565-572, Feb. 1992.										
	ВА	Tsalgatidou, A. et al., "Rule-Base Behavioural Modelling of Information Systems", IEEE Confer. On system Sciences, pp. 409-418, Jan. 1993.										
	ВВ	BB Karadimce, A. P., et al., "Conditional Term Rewriting as a Formal Basis for Analysis of Active Database Rules", IEEE Workshop on Research Issues in Data Engineering, pp. 156-162, Feb. 1994.										
Examiner Signature						Date Cons	sidered					

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.